

PART B - FEE(S) TRANSMITTAL

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31013 7590 07/30/2004

KRAMER LEVIN NAFTALIS & FRANKEL LLP
INTELLECTUAL PROPERTY DEPARTMENT
919 THIRD AVENUE
NEW YORK, NY 10022

11/02/2004 ZJUHA2 00000120 500540 09607126

01 FC:8001 30.00 OP
02 FC:2501 20.00 DA 665.00 OP

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| | |
|---------------------------|--------------------|
| <i>Jenny Lynn Stewart</i> | (Depositor's name) |
| <i>Jenny Lynn Stewart</i> | (Signature) |
| <i>October 27, 2004</i> | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/607,126 | 06/29/2000 | David Moy | 0064738-0040 | 8491 |

TITLE OF INVENTION: PROCESS FOR PRODUCING SINGLE WALL NANOTUBES USING UNSUPPORTED METAL CATALYSTS AND SINGLE WALL NANOTUBES PRODUCED ACCORDING TO THIS METHOD

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$665 | \$0 | \$665 | 11/01/2004 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-----------------------|----------|----------------|
| HENDRICKSON, STUART L | 1754 | 423-447300 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Kramer Levin Naftalis & Frankel LLP

2. Barry Evans, Esq.

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hyperion Catalysis International, Inc. Cambridge, MA 02138

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

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- ☒ A check in the amount of the fee(s) is enclosed. Check No. 9100 \$665.00
- ☐ Payment by credit card. Form PTO-2038 is attached. Check No. 9103 \$30.00
- ☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0540 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

Albert B. Chen

10/27/04

Albert B. Chen, Reg. No. 41,667

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



Customer No. 31013

PATENT
Attorney Docket No.: 100647-03840

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Moy et al.
Serial No. : 09/607,126
Filed : June 29, 2000
For : **PROCESS FOR PRODUCING SINGLE WALL
NANOTUBES USING UNSUPPORTED METAL
CATALYSTS AND SINGLE WALL NANOTUBES
PRODUCED ACCORDING TO THIS METHOD**
Group Art Unit : 1754
Confirmation No. : 8491
Examiner : Hendrickson, Stuart L.

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Jenny Lynn Stewart

TRANSMITTAL OF ISSUE FEE

Sir:

In connection with the above-identified patent application, enclosed please find the ISSUE FEE TRANSMITTAL, PTOL-85B. Applicants also enclose herewith Kramer Levin Naftalis & Frankel LLP check no. 9100 for \$665.00 covering the Issue Fee for a small entity. Also enclosed is check no. 9103 for \$30.00 for ten (10) additional soft copies of the patent.


Customer No. 31013

PATENT
Attorney Docket No.: 100647-03840

Please charge any additional fees or credit any overpayment to Deposit Account

No. 50-0540. A duplicate copy of this communication is enclosed therefor.

Respectfully submitted,
KRAMER LEVIN NAFTALIS & FRANKEL LLP
Attorneys for Applicants

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